

Communication Principles

EN. 601.792.01

Ziang Xiao Department of Computer Science Spring 2024



Grounding

Grounding in communication comprises the collection of "mutual knowledge, mutual beliefs, and mutual assumptions".

Clark, H. H., & Brennan, S. E. (1991). Grounding in communication.



Let's meet for coffee tomorrow at noon.

Sure, that sounds great. Do you mean at the café on 5th Street?



Yes, exactly. The one next to the bookstore.

Got it. I'll be there at 12 PM. Looking forward to it!

Why Grounding

Grounding in communication comprises the collection of "mutual knowledge, mutual beliefs, and mutual assumptions".

Theory of Mind

knowledge that others' beliefs, desires, intentions, emotions, and thoughts

Sclar, M., Kumar, S., West, P., Suhr, A., Choi, Y., & Tsvetkov, Y. (2023). Minding Language Models' (Lack of) Theory of Mind: A Plug-and-Play Multi-Character Belief Tracker. *arXiv preprint arXiv:2306.00924*.

How?

Gricean Maxims of Conversation

A set of cooperative principles that both interlocutors should adhere for effective communications.

Gricean Maxims of Conversation

- **Quantity**, where one tries to be as informative as one possibly can, and gives as much information as is needed, and no more.
- **Quality**, where one tries to be truthful, and does not give information that is false or that is not supported by evidence.
- **Relevance**, where one tries to be relevant, and says things that are pertinent to the discussion.
- Manner, when one tries to be as clear, as brief, and as orderly as one can in what one says, and where one avoids obscurity and ambiguity.

How to operationalize?

Conversational Survey



Xiao, Z., Zhou, M. X., Liao, Q. V., Mark, G., Chi, C., Chen, W., & Yang, H. (2020). Tell me about yourself: Using an AI-powered chatbot to conduct conversational surveys with open-ended questions. ACM Transactions on Computer-Human Interaction (TOCHI), 27(3), 1-37.

Measure response quality with Gricean Maxims^[1]

Gricean Maxims	Definition	
Quantity	One should be as informative as possible	
Relevance	One should provide relevant information	
Manner	One should communicate in a clear and orderly manner	

Measure response quality with Gricean Maxims^[1]

Gricean Maxims	Definition	Quality Metrics	Definition
Quantity	One should be as informative as possible	Informativeness	A participant's response should be as informative as possible
		Specificity	A response should give as much information as needed
Relevance	One should provide relevant information	Relevance	A participant's response should be relevant to a question asked
Manner	One should communicate in a clear and orderly manner	Clarity	A participant's response should be clear

Can we use Gricean Maxims to guide conversational agent?

Error repairing

Conversation can go awry. How do we fix it?

Source of errors

• User

- \circ Digressions
- \circ Correction
- \circ Chit-chat
- Agent
 - Understanding Errors
 - Response Errors
 - $\circ \quad \text{Style Errors} \quad$

• User

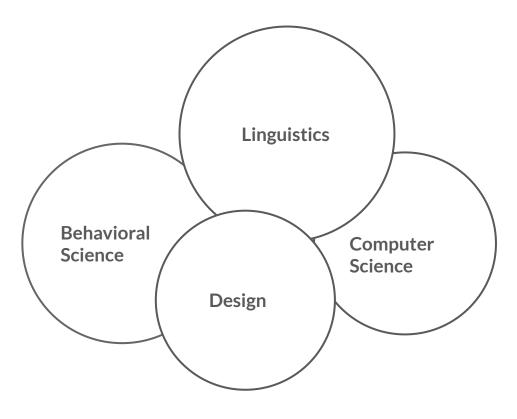
- \circ Digressions
- \circ Correction
- Chit-chat

• Agent

- Understanding Errors
- Response Errors
- Style Errors

How to fix?

Conversational UI





1.

2. Join piazza

Find a team

Before you go,

3. Looking out for emails regarding next week's discussion assignment/instructions

See you next Monday!